



BERKELEY

CAPITAL MANAGEMENT

March 12, 2026

Form ADV Part 2A Brochure

Berkeley Capital Management, LLC

1502 Aylward Ave/P.O. Box 237

Ellsworth, KS 67439

(785) 472-2375

This brochure provides information about the qualifications and business practices of Berkeley Capital Management, LLC. If you have any questions about the contents of this brochure, please contact us at (785) 472-2375 or apeschka@berkeleycapitalmanagement.com. The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission or by any state securities authority. We are a registered investment advisory firm, but that does not imply a certain level of skill or training.

Additional information about Berkeley Capital Management, LLC is also available on the SEC's website at www.adviserinfo.sec.gov and on the website, www.berkeleycapitalmanagement.com.

MATERIAL CHANGES

Since the last updating amendment on 03/28/2025, Berkeley Capital Management, LLC has the following material changes to report:

- Items 4 and 5: We have added financial planning and ERISA Retirement Plan Services to our service offerings.
- Item 11: We have added additional information on our Firm's Code of Ethics.
- Item 12: This item has been updated to disclose the following:
 - We typically do not permit clients to direct us which Broker/Dealer/Custodian to use.
 - We do not have any soft dollar arrangements with broker dealers
 - If we buy or sell the same securities on behalf of more than one client, then we may aggregate such orders.

Pursuant to regulatory requirements, we will deliver to you a summary of any material changes to this and subsequent Brochures within 120 days of the close of our fiscal year. We may further provide other ongoing disclosure information about material changes as necessary. All such information will be provided to you at no charge. Currently, our Brochure may be requested by contacting our office at (785) 472-2375. Additional information about Berkeley is also available on the SEC's website www.adviserinfo.sec.gov.

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ADVISORY BUSINESS

Berkeley Capital Management, LLC (“Berkeley”) is a privately held investment management company located in Ellsworth, Kansas and organized as a limited liability company. Berkeley is a registered investment advisor with the Securities and Exchange Commission (“SEC”). Ryan J. Peschka founded Berkeley in 2009, which is owned by the Ryan and Amber Peschka Trust, of which Ryan and Amber Peschka are trustees. As of December 31, 2025, the firm has 370 clients and total assets under management were approximately \$128,487,497, all of which is managed on a discretionary basis.

Berkeley Capital Management, LLC provides customized investment and portfolio management through the following advisory services:

MANAGEMENT OF INVESTMENT ADVISORY ACCOUNTS:

Management services are offered for a percentage of assets under management (AUM). Utilizing a fundamental method of analysis, the firm provides advice on the following types of investments:

- Equity Securities including exchange-listed securities, securities listed over-the-counter, foreign issuers
- Corporate Debt Securities
- Certificate of Deposit
- Municipal Securities
- Government Securities
- Mutual Fund Shares, including both Equity and Fixed Income
- Options Contracts on Securities
- Exchanged-Traded Funds, including both Equity and Fixed Income

The firm uses the following types of information to assist with fundamental research and analysis:

- Financial newspapers and magazines
- Web based financial content databases
- Inspections of corporate activities
- Research material prepared by others
- Corporate rating services
- Annual reports and filings with the SEC
- Company press releases

The investment strategies used to implement investment advice to clients include long and short-term purchases and options writing, including covered options, uncovered options or spreading strategies. The firm and its representatives may buy for itself securities that it buys or sells for others.

FINANCIAL PLANNING:

Financial plans and financial planning may include but are not limited to advice with respect to some or all the following financial topics: retirement planning, cash flow management, tax reduction strategies, and investment planning. Our financial planning advice may be delivered to clients in the form of a written financial plan, a shorter report, or via discussions with clients, as agreed upon in the written financial planning agreement. Clients retain sole discretion over whether to accept or reject any of our financial planning advice.

ERISA RETIREMENT PLAN SERVICES:

The Employee Retirement Income Security Act of 1974 ("ERISA") is the law governing the operation of employee benefit plans. Berkeley provides investment advisory and consulting services to Plan Sponsors of ERISA plans under Sections 3(21) of ERISA. Berkeley provides ongoing investment monitoring and investment recommendation services as outlined in the written agreement between Berkeley and the Plan Sponsor. Under the 3(21) Service, Berkeley does not have investment discretion and does not have the power to manage, acquire, or dispose of any plan assets. The Plan Sponsor retains ultimate decision-making authority for the investments and may accept or reject the recommendations of Berkeley under this Service.

As part of this service, Berkeley may assist the Plan Sponsor with Plan Participant enrollment and Plan education. If the services selected by the Plan Sponsor include enrollment and investment education to Plan Participants, the services do not include any individualized investment advice within the meaning of ERISA to Plan Participants with respect to their Plan assets.

TAILORED RELATIONSHIPS AND CLIENT IMPOSED RESTRICTIONS

At Berkeley, advisory services are tailored to client needs. From an investment management perspective, client goals and objectives are combined with risk/suitability to determine the appropriate portfolio allocation. Clients may impose restrictions in investing in certain securities or types of securities in accordance with their values or beliefs. However, if the restrictions prevent Berkeley from properly servicing the client account, or if the restrictions would require Berkeley to deviate from its standard suite of services, Berkeley reserves the right to end the relationship.

WRAP FEES

The Firm does not participate in a wrap fee program.

ITEM 5

FEES AND COMPENSATION

The Client agrees to pay the Advisor fees computed as a percentage of the market value of the principal assets (securities and cash) in the investment portfolio at a rate, or combination of rates, based upon the Fee Schedule set forth below. Until paid, the fees due the Advisor shall constitute a lien upon the assets of the advisory account. Compensation to the Advisor shall not be based on a share of capital gains or capital appreciation of the client's funds or any portion thereof.

MANAGEMENT OF INVESTMENT ADVISORY ACCOUNTS:

Fee Schedule

Assets Under Management	Annual Fee (%)
\$0 - \$399,999	1.20%
\$400,000 - \$999,999	1.00%
\$1,000,000 and above	0.80%

Fees are paid quarterly in arrears. The Client also authorizes the Advisor to deduct advisory fees directly from the Client's account. Fees are negotiable. Fees will be determined at the end of each quarter and will be based upon

account(s) closing value, as reported by the account custodian on the last day of the quarter. On a limited basis and with firm approval, the Client may select their billing method.

In the event of termination prior to the end of any quarter, fees will be calculated on a prorated basis, charged and payable to the effective date of termination, based upon the market value(s) at the close of business on the last business day of the calendar quarter immediately preceding the quarter in which the account is terminated.

The following sequence of steps is used to compute the final pro-rated advisory fee:

1. Attain the account's Asset Value as of the day of termination.
2. Divide the percentage annual fee by four, as computed using the Fee Schedule above, then multiply the Asset Value by that number, this provides the full advisory fee amount.
3. Multiply this value by the number of days in the current quarter leading up to the date of termination, then divide this number by the number of days in the current quarter. This provides the pro-rated value of the final Berkeley advisory fee.

All fees paid to the firm for investment management services are separate and distinct from the fees and expenses charged by mutual funds to their shareholders as described in each fund prospectus. These fees will generally include a management fee, other fund expenses, and a possible distribution fee. If the fund is sold on a short-term basis, the account could be subject to a short-term redemption fee. Clients may incur brokerage and other transaction costs (see Item 12).

Berkeley Capital Management provides services with respect to individually managed accounts. The information herein shall include details regarding all programs in which the Adviser provides services.

FINANCIAL PLANNING:

Fees for stand-alone Financial Planning service fee are charged on a fixed fee basis. Fees range from \$500 to \$3000 depending on the nature and complexity of each client's circumstances and upon mutual agreement with the client. Fees are payable upon completion of the service.

In certain circumstances, financial planning services may be rendered in conjunction with portfolio management services as part of a comprehensive investment management engagement.

ERISA RETIREMENT PLAN SERVICES:

Fees for retirement plan services provided to ERISA Plan Sponsors are negotiated by Berkeley and the Plan Sponsor and will not exceed 0.80%. A Plan Sponsor's agreement with the recordkeeper will determine the frequency at which fees are paid. For example, fees may be calculated and billed quarterly in arrears; however, some recordkeepers may calculate and bill more frequently.

ITEM 6

PERFORMANCE BASED FEES AND SIDE-BY-SIDE MANAGEMENT

Our business model is not set up to receive performance-based fees or participate in side-by-side management.

ITEM 7

TYPES OF CLIENTS

Berkeley accepts both accredited and non-accredited investors to provide investment advisory services to such as individuals, trusts, businesses and retirement/pension plans. Berkeley does not currently have an absolute minimum account size for investment portfolios.

ITEM 8

METHODS OF ANALYSIS, INVESTMENT STRATEGIES AND RISK OF LOSS

The advisor utilizes an individualized and customized investment approach based on a combination of factors including risk tolerance, age and income levels. Investment options can include but are not limited to individual stocks, exchange traded funds, mutual funds, FDIC insured Certificates of Deposit, bonds, money market funds and relatively conservative option strategies.

Berkeley utilizes a bottom-up approach to selecting individual stocks and bonds. With this strategy, research and analysis is conducted on individual companies. Investments are chosen based on the advisor's evaluation of company's future prospects and not as much on any significant economic and market cycle. Berkeley is not concerned with quarterly performance, but rather focuses on long-term prospects of an underlying investment. Therefore, the firm will select investments it believes are currently selling below their intrinsic value based on its fundamentals and long-term business outlook. While Berkeley intends to buy securities and hold them long-term (1 year +) it may sell at any time if: (a) the price of the equity has appreciated to estimates of its current value; (b) new information about the specific company or the economic environment in general changes the firm's investment thesis; or (c) a different investment option is more attractive and funds need to be liquidated in order to make a purchase.

Investing in securities involves a risk of loss that clients should be prepared to tolerate. We do not represent or guarantee our services or methods of analysis can or will predict future results, successfully identify market highs or lows, or insulate clients from losses due to market conditions. Past performance is not indicative of future performance.

Our clients face risks that are specific to investing and not particular to Berkeley. Those risks include but are not limited to:

- **Interest-rate risk:** The risk borne by an interest-bearing asset, such as a loan or a bond, due to variability of interest rates. In general, as rates rise, the price of a fixed rate bond will fall, and vice versa.
- **Market Risk:** The risk that the price of a security may drop in reaction to market events. This type of risk is independent of risks associated with a security's particular underlying circumstances.
- **Inflation Risk:** The risk that a currency loses its purchasing power because of the rising price of goods and services.
- **Currency Risk:** The risk that arises from the change in price of one currency against another.
- **Reinvestment Risk:** The risk that a decline in interest rates will lead to lower income when bonds mature and funds are reinvested at a lower rate.
- **Business Risk:** The risk associated with a particular industry or a particular company with an industry.
- **Liquidity Risk:** The risk that an investment will not readily be converted into cash.
- **Financial Risk:** The increase in risk, over and above the firm's basic business risk, resulting from the use of financial leverage (borrowing).

Clients also face the risk that securities we choose for their portfolio may not perform as well as similar securities in the

same industry or the stock/bond market in general.

ITEM 9

DISCIPLINARY INFORMATION

Neither Berkeley nor any of its associated persons have been the subject of any legal or disciplinary action.

ITEM 10

OTHER FINANCIAL INDUSTRY ACTIVITIES AND AFFILIATIONS

Registration as a Broker/Dealer or Broker/Dealer Representative

Berkeley is not registered and does not have an application pending to register, as a broker dealer.

Registration as a Futures Commission merchant, Commodity Pool Operator

Berkeley and its management persons are not registered and do not have application pending to register, as a futures commission merchant, commodity pool operator/advisor.

Relationships Material to this Advisory Business and Possible Conflicts of Interest

Amber Peschka does tax and accounting for some clients. From time to time, she may offer clients advice or product from those activities and clients should be aware that these services may involve a conflict of interest. Berkeley always acts in the best interest of the client and clients always have the right to decide whether or not to utilize the services of any Berkeley representative in such individuals outside capacities.

Selection of other Advisors

Berkeley does not recommend or select other investment advisers for clients.

ITEM 11

CODE OF ETHICS, PARTICIPATION OR INTEREST IN CLIENT TRANSACTIONS AND PERSONAL TRADING

Code of Ethics

As a fiduciary, it is an investment advisor's responsibility to provide fair and full disclosure of all material facts. In addition, an investment advisor has a duty of utmost good faith to act solely in the best interest of each of its clients. Berkeley and its representatives have a fiduciary duty to all clients. Berkeley and its representatives' fiduciary duty to clients is considered the core underlying principle for Berkeley's Code of Ethics and represents the expected basis for all representatives' dealings with clients. Berkeley has the responsibility to ensure that the interests of clients are placed ahead of it or its representatives' own investment interest. All representatives will conduct business in an honest, ethical, and fair manner. All representatives will comply with all federal and state securities laws at all times. Full disclosure of all material facts and potential conflicts of interest will be provided to clients prior to services being conducted. All representatives have a responsibility to avoid circumstances that might negatively affect or appear to affect the representatives' duty of complete loyalty to their clients. A copy of Berkeley's Code of Ethics is available upon request.

Recommendations Involving Material Financial Interests

Neither our firm nor any persons associated with our firm have any material financial interest in client transactions beyond the provision of investment advisory services as disclosed in this brochure.

Personal Trading Practices

Berkeley and its representatives may buy or sell securities that are also recommended to Clients. Berkeley has adopted a Code of Ethics that sets forth the basic policies of ethical conduct for all employees of the Firm. The Code of Ethics governs personal trading by each employee of Berkeley deemed to be an Access Person and is intended to ensure that securities transactions effected by Access Persons of Berkeley are conducted in a manner that avoids any actual or potential conflict of interest between such persons and clients of the Firm. In addition, Berkeley collects and maintains records of securities holdings and securities transactions effected by Access Persons. These records are reviewed to identify and resolve potential conflicts of interest.

ITEM 12

BROKERAGE PRACTICES

The Custodians and Brokers We Use

The firm does not maintain custody of client assets that it manages or advises on. Client assets must be maintained in an account at a qualified custodian, generally a broker-dealer or bank. The firm recommends our clients use the following custodian/broker-dealers as the qualified custodian: Charles Schwab & Co., Inc. Advisor Services. Berkeley may agree on case-by-case basis to provide investment management services to accounts held at other custodians. Berkeley is independently owned and operated and is not affiliated with any custodian. The custodian will hold client assets in a brokerage account, and buy and sell securities when the firm instructs them to. Prior to engaging Berkeley to provide investment advisory services, the Client will be required to enter into 1. A formal "Investment Advisory Agreement" with Berkeley and 2. A separate custodial/clearing agreement with each designated broker-dealer/custodian.

How We Select Custodians/Brokers

The firm seeks to recommend a custodian/broker who will hold client assets and execute transactions on terms that are, overall, competitive when compared to other available providers and their services. Factors Berkeley considers in recommending any broker-dealer/custodian to Clients include historical relationship with the Firm, financial strength, reputation, technology, convenience, execution capabilities, pricing, and service. Although Berkeley will seek to recommend broker-dealer/custodians with competitive rates, it may not necessarily obtain the lowest possible commission rates for Client account transactions. The brokerage commissions or transaction fees charged by the designated broker-dealer/custodian are exclusive of, and in addition to, Berkeley's investment management fee. Berkeley may receive research and/or other soft dollar benefits from the broker-dealers which hold/service client accounts. Any research or soft dollar benefit is utilized by Berkeley for the benefit of all clients.

Soft Dollars

Soft dollars are revenue programs offered by broker-dealers/custodians whereby an advisor enters into an agreement to place security trades with a broker-dealer/custodian in exchange for research and other services. Berkeley does not participate in soft dollar programs offered by any broker-dealer/custodians. However, Berkeley does receive certain economic benefits from Schwab as detailed in Item 14 below.

Brokerage Referrals

Berkeley does not receive any compensation from any third party in connection with the recommendation for establishing an account.

Clients Directing Which Broker/Dealer/Custodian to Use

Berkeley typically requires clients to use a specific broker-dealer to execute transactions. Not all advisers require clients to use a particular broker-dealer.

Aggregate Trades

Berkeley generally effects transactions for each client account individually. If Berkeley buys or sells the same securities on behalf of more than one client, then it may (but is not obligated to) combine or "bunch" such orders to allocate equitably among the Firm's client's differences in prices and commissions or other transaction costs that might have been obtained had such orders been placed independently. Under this procedure, transactions will be averaged as to price and will be allocated among clients in proportion to the purchase and sale orders placed for each client account on any given day. The Firm shall not receive any additional compensation or remuneration as a result of such aggregation. When trades are not aggregated, clients may not enjoy the effects of lower transaction per share costs that can occur as a result of aggregating trades. As a result, you can pay a higher transaction cost than could be received elsewhere.

ITEM 13

REVIEW OF ACCOUNTS

Ryan J. Peschka, President of Berkeley, reviews accounts on at least an annual basis. The purpose of the review is to study the holdings in each account to determine if it remains suitable for the portfolio based on a number of criteria including investment objectives and risk tolerance. Also, the firm factors in market conditions and company specific news that may be relevant to the client account. Reviews may be triggered by material market, economic, or political events or by changes in the client's financial situations (such as retirement, termination of employment, physical move, or inheritance). Based on a combination of these factors and triggers the firm may decide to reposition the portfolio to better match recent news and events or increase diversification.

Clients will receive on at least a quarterly basis, statements directly from the custodian of their account. While Berkeley does not provide written reports, we do often engage in verbal communication to clients regarding their accounts.

All financial planning accounts are reviewed upon financial plan creation and plan delivery by the investment adviser representative on the account. There is only one level of review for financial planning, and that is the total review conducted to create the financial plan.

Berkeley encourages clients to call or email if they would like to discuss their investment accounts, strategies, or individual securities within their portfolio.

ITEM 14

CLIENT REFERRALS AND OTHER COMPENSATION

Berkeley does not compensate individuals and/or entities for client referrals, nor does the firm receive any compensation from any individual and/or entity other than the firm's existing clients for providing investment advice or other advisory services.

Charles Schwab & Co., Inc. Advisor Services provides Berkeley with access to Charles Schwab & Co., Inc. Advisor Services' institutional trading and custody services, which are typically not available to Charles Schwab & Co., Inc. Advisor Services' retail investors. These services generally are available to independent investment advisers on an unsolicited basis, at no charge to them. Charles Schwab & Co., Inc. Advisor Services includes brokerage services that are related to the

execution of securities transactions, custody, research, including that in the form of advice, analyses and reports, and access to mutual funds and other investments that are otherwise generally available only to institutional investors or would require a significantly higher minimum initial investment. For Berkeley client accounts maintained in its custody, Charles Schwab & Co., Inc. Advisor Services generally does not charge separately for custody services but is compensated by account holders through commissions or other transaction-related or asset-based fees for securities trades that are executed through Charles Schwab & Co., Inc. Advisor Services or that settle into Charles Schwab & Co., Inc. Advisor Services accounts.

Charles Schwab & Co., Inc. Advisor Services also makes available to Berkeley other products and services that benefit Berkeley but may not benefit its clients' accounts. These benefits may include national, regional or Berkeley specific educational events organized and/or sponsored by Charles Schwab & Co., Inc. Advisor Services. Other potential benefits may include occasional business entertainment of personnel of Berkeley by Charles Schwab & Co., Inc. Advisor Services personnel, including meals, invitations to sporting events, including golf tournaments, and other forms of entertainment, some of which may accompany educational opportunities. Other of these products and services assist Berkeley in managing and administering clients' accounts. These include software and other technology (and related technological training) that provide access to client account data (such as trade confirmations and account statements), facilitate trade execution (and allocation of aggregated trade orders for multiple client accounts, if applicable), provide research, pricing information and other market data, facilitate payment of Berkeley's fees from its clients' accounts (if applicable), and assist with back-office training and support functions, recordkeeping and client reporting. Many of these services generally may be used to service all or some substantial number of Berkeley's accounts. Charles Schwab & Co., Inc. Advisor Services also makes available to Berkeley other services intended to help Berkeley manage and further develop its business enterprise. These services may include professional compliance, legal and business consulting, publications and conferences on practice management, information technology, business succession, regulatory compliance, employee benefits providers, and human capital consultants, insurance and marketing. In addition, Charles Schwab & Co., Inc. Advisor Services may make available, arrange and/or pay vendors for these types of services rendered to Berkeley by independent third parties. Charles Schwab & Co., Inc. Advisor Services may discount or waive fees it would otherwise charge for some of these services or pay all or a part of the fees of a third-party providing these services to Berkeley. Berkeley is independently owned and operated and not affiliated with Charles Schwab & Co., Inc. Advisor Services.

ITEM 15

CUSTODY

When it deducts fees directly from client accounts at a selected custodian, Berkeley will be deemed to have limited custody of client's assets and must have written authorization from the client to do so. Clients will receive all account statements and billing invoices that are required in each jurisdiction, and they should carefully review those statements for accuracy.

Custody is also disclosed in Form ADV because Berkeley has authority to transfer money from client account(s), which constitutes a standing letter of authorization (SLOA). Accordingly, Berkeley will follow the applicable safeguards.

ITEM 16

INVESTMENT DISCRETION

The clients grant and Berkeley accepts discretionary authority to manage securities on behalf of clients. This authority is extended to Berkeley by way of the Investment Advisory Agreement and custodial documentation completed upon account setup. In some instances, Berkeley's discretionary authority in making these determinations may be limited by

conditions imposed by a client (in investment guidelines or objectives, or client instructions otherwise provided to Berkeley).

ITEM 17

VOTING CLIENT SECURITIES

Berkeley does not have authority to vote proxies on behalf of client accounts. Upon request, we may offer clients advice regarding corporate actions and exercising their proxy voting rights. Usually, proxy materials are distributed directly to the client from the custodian.

ITEM 18

FINANCIAL INFORMATION

Berkeley has no financial commitment that impairs its ability to meet contractual and fiduciary commitments to clients and has not been the subject of a bankruptcy proceeding at any time during the past ten years. Berkeley is not required to deliver a balance sheet along with this Disclosure Brochure as the Firm does not collect advance fees of \$1,200 or more for services to be performed six months or more in the future.

ITEM 1

Ryan J. Peschka

Berkeley Capital Management, LLC

1502 Aylward Ave/ P.O. Box 237
Ellsworth, KS 67439
(785) 472-2375

www.berkeleycapitalmanagement.com

March 12, 2026

This Brochure Supplement provides information about Ryan J. Peschka that supplements the Berkeley Capital Management, LLC brochure. You should have received a copy of that Brochure. Please contact apeschka@berkeleycapitalmanagement.com if you did not receive Berkeley Capital Management's brochure or if you have any questions about the contents of this supplement. Additional information about Ryan J. Peschka is available on the SEC's website at www.adviserinfo.sec.gov.

ITEM 2

Educational Background and Business Experience

Ryan J. Peschka

Year of Birth: 1979

Education Background

University of Kansas – BA in Economics, 2003

University of Kansas – MBA, 2008

Business Background

Berkeley Capital Management, LLC
President

January 2009 – Present

First Bank Kansas
Chief Financial Officer

January 2014 – February 2017

First Bank Kansas
Vice President/Loan Officer

January 2011 – January 2014

First Bank Kansas
Assistant Vice President/Loan Officer

January 2010 – January 2011

UMB Bank
Senior Strategy Analyst

June 2008 – January 2010

ITEM 3

Disciplinary Information

No information is applicable to this Item.

ITEM 4

Other Business Activities

Mr. Peschka is serving as a consultant with First Bank Kansas. The bank owns certain fixed income securities, and Mr. Peschka may advise on securities the bank owns or is considering purchasing.

ITEM 5

Additional Compensation

As noted in Item 4 above, Mr. Peschka receives compensation from his outside business activity.

ITEM 6

Supervision

As the President of Berkeley Capital Management LLC, Mr. Peschka acts in a supervisory capacity over other Investment Adviser Representatives of the firm. Mr. Peschka's advisory activities are subject to oversight and review by the firm's Chief Compliance Officer, Amber Peschka. The CCO is responsible for administering the firm's compliance program and monitoring Mr. Peschka's activities for adherence to applicable securities laws, regulations, and internal policies and procedures. Amber Peschka can be contacted at (785) 472-2375.

ITEM 1

Nicholas J. Reinert

Berkeley Capital Management, LLC

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Ellsworth, KS 67439

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www.berkeleycapitalmanagement.com

MARCH 12, 2026

This Brochure Supplement provides information about Nicholas J. Reinert that supplements the Berkeley Capital Management, LLC brochure. You should have received a copy of that Brochure. Please contact apeschka@berkeleycapitalmanagement.com if you did not receive Berkeley Capital Management's brochure or if you have any questions about the contents of this supplement. Additional information about Nicholas J. Reinert is available on the SEC's website at www.adviserinfo.sec.gov.

ITEM 2

Educational Background and Business Experience

Nicholas J Reinert

Year of Birth: 1999

Education Background

Kansas State University – BA in Business

Administration Accounting & Finance, 2022

Business Background

Berkeley Capital Management, LLC

September 2022 – Present

Investment Adviser Representative

Otte Farms

December 2012 – Present

Farmhand

Consulting

November 2021 – Present

American Warrior Inc

May 2022 – August 2022

Intern

ITEM 3

Disciplinary Information

No information is applicable to this Item.

ITEM 4

Other Business Activities

Mr. Reinert does consulting and farming operations.

ITEM 5

Additional Compensation

Mr. Reinert does not receive additional compensation for advisory services outside of Berkeley Capital Management, LLC.

ITEM 6

Supervision

As a representative of Berkeley Capital Management, Nicholas J Reinert is supervised by Ryan Peschka, the firm's President . Ryan Peschka is responsible for ensuring that Nicholas J Reinert adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. The phone number for Ryan Peschka is (785) 472-2375.

ITEM 1

Timothy Burger

Berkeley Capital Management, LLC

1502 Aylward Ave/ P.O. Box 237

Ellsworth, KS 67439

(785) 472-2375

www.berkeleycapitalmanagement.com

MARCH 12, 2026

This Brochure Supplement provides information about Timothy Burger that supplements the Berkeley Capital Management, LLC brochure. You should have received a copy of that Brochure. Please contact apeschka@berkeleycapitalmanagement.com if you did not receive Berkeley Capital Management's brochure or if you have any questions about the contents of this supplement. Additional information about Timothy Burger is available on the SEC's website at www.adviserinfo.sec.gov.

ITEM 2

Educational Background and Business Experience

Timothy Burger

Year of Birth: 1978

Education Background

University of Kansas– Master of Business
Administration, 2005

University of Kansas– Bachelor of Arts, 2001

Designations

Certified Financial Planner (CFP®)

I am certified for financial planning services in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board"). Therefore, I may refer to myself as a CERTIFIED FINANCIAL PLANNER® professional or a CFP® professional, and I may use these and the other certification marks (the "CFP Board Certification Marks") that Certified Financial Planner Board of Standards Center for Financial Planning, Inc. has licensed to CFP Board in the United States. The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at www.cfp.net.

CFP® professionals have met CFP Board's high standards for education, examination, experience,

and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

- *Education* – Earn a bachelor’s degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirement through other qualifying credentials. CFP Board implemented the bachelor’s degree or higher requirement in 2007 and the financial planning development capstone course requirement in March 2012. Therefore, a CFP® professional who first became certified before those dates may not have earned a bachelor’s or higher degree or completed a financial planning development capstone course.
- *Examination* – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual’s ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.
- *Experience* – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.
- *Ethics* – Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board’s Code of Ethics and Standards of Conduct (“Code and Standards”), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

- *Ethics* – Commit to complying with CFP Board’s Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional’s services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.
- *Continuing Education* – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

Chartered Financial Analyst

The Chartered Financial Analyst (CFA) charter is a globally respected investment credential established in 1962 and awarded by the CFA Institute. To earn the CFA charter, candidates must:

- 1) Pass three sequential, six-hour examinations;
- 2) Have at least four years of qualified professional investment experience;
- 3) Join the CFA Institute as members; and
- 4) Commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct. To learn more about the CFA charter, visit www.cfainstitute.org

Business Background

Berkeley Capital Management, LLC
Investment Adviser Representative

April 2025 – Present

Unemployed

February 2025 – March 2025

Scout Investment, INC.
Portfolio Manager

April 2019 – January 2025

ITEM 3

Disciplinary Information

No information is applicable to this Item.

ITEM 4

Other Business Activities

Mr. Burger is not actively engaged in any outside business activities.

ITEM 5

Additional Compensation

Mr. Burger does not receive additional compensation for advisory services outside of Berkeley Capital Management, LLC.

ITEM 6

Supervision

As a representative of Berkeley Capital Management, Timothy Burger is supervised by Ryan Peschka, the firm's President. Ryan Peschka is responsible for ensuring that Timothy Burger adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. The phone number for Ryan Peschka is (785) 472-2375.